

Form PTO-1449

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

(Use several sheets if necessary)

Docket Number (Optional)
SMY-012.01 (25087-1201)Application Number
09/309,045Applicant
Elley et al.Filing Date
May 10, 1999Group Art Unit
2134**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
nw	AA	5,224,163	06/29/93	Gasser et al.		
	AB	5,261,002	11/09/93	Perlman et al.		
	AC	5,315,657	05/24/94	Abadi et al.		
	AD	5,764,772	06/09/98	Kaufman et al.		
	AE	5,901,227	05/04/99	Perlman, Radia J.		
	AF	6,138,235	10/24/00	Lipkin et al.		
	AG	6,212,634	04/03/01	Geer et al.		
	AH	6,216,231	04/10/01	Stubblebien		
	AI	6,223,291	04/24/01	Puhl et al.		
	AJ	6,301,658	10/09/01	Koehler, Stephen C.		
	AK	6,370,648	04/09/02	Diep		
	AL	6,397,329	05/28/02	Aiello et al.		
	AM	6,438,690	08/20/02	Patel et al.		
	AN	US-2002/0144149 A1	10/03/02	Hannah et al.		
	AO	6,496,858	12/17/02	Frailong et al.		
	AP	US-2003/0028585 A1	02/06/03	Yeager et al.		
	AQ	US-2003/0041141 A1	02/27/03	Abdelaziz et al.		
	AR	US-2003/0055898 A1	03/20/03	Yeager et al.		
	AS	US-2003/0055894 A1	03/20/03	Yeager et al.		

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

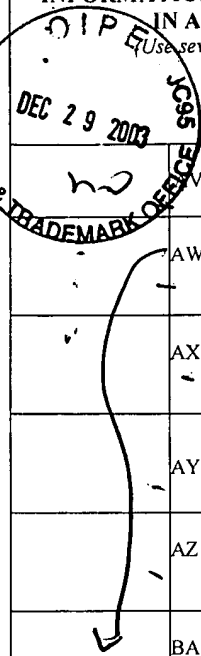

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages Etc.)

nw	AT	Kaufman, Charlie et al., "Network Security, Private Communication in a Public World," PTR Prentice Hall, 1995, chapters 5, 7 and 8 pp. 129-161, and pp. 177-222
↓	AU	Kaufman, Charlie et al., "Network Security, Private Communication in a Public World," PTR Prentice Hall, 1995, pp. 455-459

#13

Sheet 2 of 2

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		Applicant Elley et al.	
		Filing Date May 10, 1999	Group Art Unit 2134
	AW	Micali, "Enhanced Certificate Revocation System," MIT Laboratory for Computer Science	
		Micali, "Efficient Certificate Revocation," MIT Laboratory for Computer Science" (March 22, 1996)	
	AX	Myers et al., "X.509 Internet Public Key Infrastructure Online Certificate Status Protocol OCSP," (September, 1998)	
	AY	Rivest, "Can We Eliminate Certificate Revocation Lists?" MIT Laboratory for Computer Science	
	AZ	Ryutov et al., "Access Control Framework for Distributed Applications," <i>USC/Information Sciences Institute</i> (August 7, 1998)	
	BA	Woo et al., "A Framework for Distributed Authorization" November 1993, ACM 1 st Conference Computer and Communication Security, pg 112-118.	
EXAMINER			DATE CONSIDERED 3/6/04
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.			

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
nw	BB	5,283,830	02/01/94	Hinsley et al.	1	1
	BC	5,586,260	12/17/96	Hu, Wei-Ming	1	1
	BD	5,991,807	11/23/99	Schmidt et al.	1	1
	BE	6,308,274	10/23/01	Swift, Michael M.	1	1
	BF	6,366,913	04/02/02	Fitler et al.	1	1

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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
nw	BG	EP 0779570	18 Jun 1997	Europe	1		
	BH	EP 0942568	15 Sep 1999	Europe	1		
	BI	WO 99/41878	19 Aug 1999	PCT	1		

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages Etc.)

nw	BJ	Gaul et al., "Mining Generalized Association Rules for Sequential and Path Data," 2001, <i>IEEE</i> , pp. 593-596
	BK	Morrissey, P., "Demystifying Crisco Access Control Lists," April 1998, <i>Network Computing</i> , pp. 116, 118 and 120
	BL	International Search Report completed on 2 October 2002 and mailed 9 October 2002

EXAMINER

DATE CONSIDERED

3/6/04

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